

## Near-field radiative heat transfer between one-dimensional magnetophotonic crystals

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We present a theoretical study of the effect of an external dc magnetic field in the near-field radiative heat transfer between two one-dimensional magnetophotonic crystals with unit cells comprising a magneto-optical layer made of  $n$ -doped InSb and a dielectric layer. We find that in the absence of an external field, and depending on the gap size, the radiative heat transfer between these multilayer structures can be larger or smaller than that of the case of two InSb infinite plates. On the other hand, when an external magnetic field is applied, the near-field radiative heat transfer is reduced as a consequence of the suppression of hybridized surface polariton waves that are supported for transverse magnetic polarized light. We show that such reduction is exclusively due to the appearance of magnetic-field induced hyperbolic modes and not to the polarization conversion in this magneto-optical system.

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### I. INTRODUCTION

In recent years, we have witnessed a true revolution in the field of thermal radiation [1–3]. This has mainly been triggered by experimental advances that, in particular, have made it possible to confirm the long-standing prediction that the limit set by Stefan-Boltzmann's law for the radiative heat transfer between two bodies can be largely overcome by bringing them sufficiently close [4]. In the near-field regime, i.e., when the separation between two bodies is smaller than the thermal wavelength  $\lambda_{\text{th}}$  ( $\sim 10 \mu\text{m}$  at room temperature), they can also exchange radiative heat via evanescent waves (or photon tunneling), which are not taken into account in the derivation of Stefan-Boltzmann's law. This additional contribution can completely dominate the near-field radiative heat transfer (NFRHT) and, in turn, can lead to overcome the Stefan-Boltzmann's limit (or blackbody limit) by orders of magnitude for sufficiently small gaps. There is by now overwhelming experimental evidence of this fact that has been obtained in a great variety of systems and using many different materials [5–29]. From a fundamental point of view, these experiments have also helped to firmly establish the theory of fluctuational electrodynamics [30,31], which has become the standard model for the description of NFRHT. From a more applied point of view, NFRHT may have an important impact in different technologies that make use of thermal radiation, see Refs. [1–3] and references therein.

The basic physical mechanisms underlying NFRHT are relatively well-understood by now. In this sense, a good part of the efforts of the theory community in the field of thermal radiation now focuses on finding strategies to actively control NFRHT with the hope to develop novel thermal functional devices. Many interesting ideas have been put forward in recent years and the interested reader can consult the recent reviews of Refs. [1–3]. One of the most attractive and

promising ideas is the use of an external magnetic field to control the NFRHT between magneto-optical (MO) materials, which we proposed some years ago in Ref. [32]. In that work, we showed that the NFRHT between two parallel plates made of doped semiconductors can be substantially altered by the application of a static magnetic field. This work paved the way for the prediction of a plethora of thermomagnetic effects. Thus, for instance, it has been predicted that the lack of reciprocity in MO systems can lead to phenomena such as the near-field thermal Hall effect [33] or the existence of a persistent heat current [34]. It has also been theoretically demonstrated that MO systems under a static magnetic field can exhibit many phenomena that are the near-field thermal analogues of basic transport effects in the field of spintronics such as giant thermal magnetoresistance [35] or anisotropic thermal magnetoresistance [36,37]. Many of these phenomena have been reviewed in Refs. [3,38].

Most theoretical studies of the effect of a magnetic field in the NFRHT between MO systems have shown that the field tends to reduce the NFRHT and only a modest enhancement was found in Ref. [37] in a very asymmetric situation. The reason for this reduction in extended systems (like infinite parallel plates) is that a magnetic field induces the appearance of hyperbolic modes that replace the surface modes, both surface plasmon polaritons (SPPs) and surface phonon polaritons (SPhPs), that dominate the NFRHT in these systems in the absence of magnetic field [32,39]. Let us remember that hyperbolic modes can appear in uniaxial materials described by a dielectric tensor of the form  $\hat{\epsilon} = \text{diag}\{\epsilon_{xx}, \epsilon_{xx}, \epsilon_{zz}\}$ , which leads to the following dispersion relation for transversal magnetic (TM) polarization:

$$\frac{k_x^2}{\epsilon_{zz}} + \frac{k_z^2}{\epsilon_{xx}} = \frac{\omega^2}{c^2}, \quad (1)$$

where  $k_i$  are the components of the wave vector. Depending on the material, for some ranges of frequency the product  $\epsilon_{xx}\epsilon_{zz}$  can be negative and, therefore, the preceding dispersion relation, a hyperbola in the isofrequency plane, corresponds to a class of modes that can be propagating inside the material and evanescent outside of it. However, despite their propagating nature, these modes have an intrinsic wave vector cutoff that is clearly smaller than that of surface modes, which is the ultimate reason for the hyperbolic modes to be less effective transferring the heat across the gap between two bodies [32,40]. In this regard, the recent prediction put forward in Ref. [41] that an external magnetic field can greatly enhance the NFRHT between two multilayer hyperbolic metamaterials (consisting of alternating layers of a MO material and a dielectric) is certainly very interesting, albeit quite surprising. There has been quite some attention devoted to related multilayer systems in recent years [40,42–48]. The main reason for this interest is that the contribution of surface modes at multiple interfaces can greatly enhance the NFRHT, as compared to the case of two infinite parallel plates, see, e.g., Ref. [48]. The conclusion in Ref. [41] that an external magnetic field can enhance the NFRHT in multilayer systems featuring MO materials was mainly based on an effective medium theory that is known to have limitations in the description of NFRHT [47–49]. It would be highly desirable to revisit this interesting problem making use of an exact approach. This is precisely the main goal of this paper.

In this paper, we present a systematic theoretical study of the influence of an external magnetic field in the NFRHT between two identical 1D magnetophotonic crystals with unit cells comprising a MO layer, made of InSb, and a dielectric layer, made of either BK7 glass or SiO<sub>2</sub>, see Fig. 1(a). Our calculations are based on a scattering matrix approach for anisotropic materials that provides the exact numerical results for an arbitrary number of layers in these crystals. We find that, irrespective of the different geometrical parameters, such as gap size, thickness of the dielectric layer, etc., and irrespective of the dielectric material (BK7 glass or silica), an external magnetic field always reduces the NFRHT as compared to the case of two InSb parallel plates. In particular, we find that for a field of a few Teslas, the NFRHT of these layered systems can be reduced by almost a factor of 6 as compared to the zero-field case. Moreover, we show that the physical mechanism for this drastic magnetic-field reduction is the appearance of hyperbolic modes that replace the hybrid surface polariton modes supported by these metal-dielectric multilayer structures.

The remainder of this paper is organized as follows. In Sec. II, we describe the 1D magnetophotonic crystals under study, as well as the theoretical approach used for the calculation of the radiative heat transfer between them. In Sec. III, we start the discussion of our main results by analyzing the radiative heat transfer in the absence of an applied field, paying special attention to the reduction of the heat flux in an intermediate regime of the vacuum gap size and to the enhancement of radiative heat flux in the far-field regime. Then, in Sec. IV, we analyze the effect of an external magnetic field in the NFRHT between these multilayer structures. Finally, in Sec. V we summarize the main results presented in this paper. Additionally, the Appendix presents a study of the validity

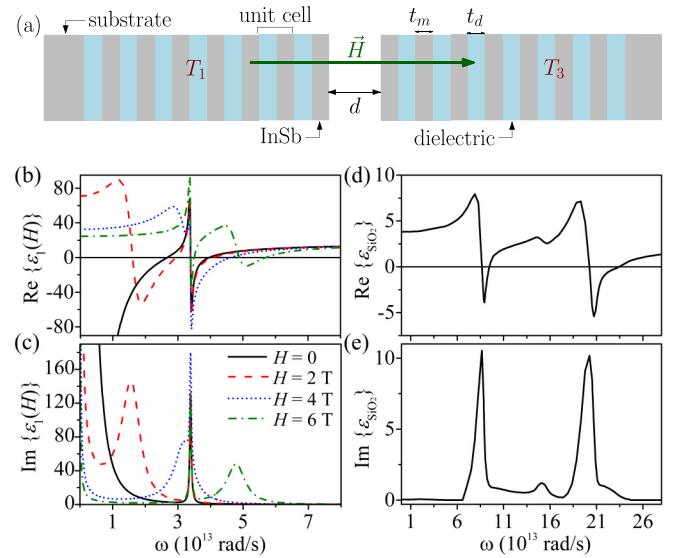


FIG. 1. (a) Schematic view of two identical periodic multilayer structures, separated by a vacuum gap of thickness  $d$ , each one at its corresponding temperature  $T_1$  and  $T_3$ , and under the influence of an external dc magnetic field  $H$  oriented along the stratification ( $z$ ) axis. The unit cells comprise a layer of InSb, with thickness  $t_m$ , and a dielectric layer such as BK7 or SiO<sub>2</sub> of thickness  $t_d$  (assumed to be 10 nm in all calculations). Both structures are grown on InSb substrates. In (b) and (c), we show the real and imaginary parts, respectively, of the diagonal element of InSb dielectric tensor for different values of the external magnetic field. In (d) and (e), we present the real and imaginary parts, respectively, of the dielectric function of SiO<sub>2</sub>.

of an effective medium theory for the description of radiative heat transfer in our system of study.

## II. SYSTEM UNDER STUDY AND THEORETICAL APPROACH

The goal of this paper is the theoretical description of the radiative heat transfer between two identical multilayer structures, both deposited on InSb substrates, separated by a vacuum gap of size  $d$ , and under the action of an external dc magnetic field of magnitude  $H$  [see Fig. 1(a)]. Each multilayer comprises  $N$  unit cells with a dielectric layer of either SiO<sub>2</sub> or BK7 glass, of thickness  $t_d$ , and a MO layer of InSb, with thickness  $t_m$ . The external magnetic field, assumed to be parallel to the stratification axis ( $z$  axis), generates MO activity inside the InSb material [50], which is described by the following dielectric tensor [51]:

$$\hat{\epsilon} = \begin{pmatrix} \epsilon_1 & -i\epsilon_2 & 0 \\ i\epsilon_2 & \epsilon_1 & 0 \\ 0 & 0 & \epsilon_3 \end{pmatrix}, \quad (2)$$

where

$$\begin{aligned} \epsilon_1(H) &= \epsilon_\infty \left( 1 + \frac{\omega_L^2 - \omega_T^2}{\omega_T^2 - \omega^2 - i\Gamma\omega} + \frac{\omega_p^2(\omega + i\gamma)}{\omega[\omega_c^2 - (\omega + i\gamma)^2]} \right), \\ \epsilon_2(H) &= \frac{\epsilon_\infty \omega_p^2 \omega_c}{\omega[(\omega + i\gamma)^2 - \omega_c^2]}, \end{aligned} \quad (3)$$

$$\epsilon_3 = \epsilon_\infty \left( 1 + \frac{\omega_L^2 - \omega_T^2}{\omega_T^2 - \omega^2 - i\Gamma\omega} - \frac{\omega_p^2}{\omega(\omega + i\gamma)} \right).$$

Here,  $\epsilon_\infty$  is the high-frequency dielectric constant,  $\omega_L$  ( $\omega_T$ ) is the longitudinal (transverse) optical phonon frequency,  $\omega_p^2 = ne^2/(m^*\epsilon_0\epsilon_\infty)$  is the plasma frequency of free carriers of density  $n$  and effective mass  $m^*$ ,  $\Gamma$  ( $\gamma$ ) is the phonon (free-carrier) damping constant, and  $\omega_c = eH/m^*$  is the cyclotron frequency, which depends on the intensity of the external magnetic field. All the calculations presented in this paper were done assuming the following room-temperature parameter values taken from Ref. [51]:  $\epsilon_\infty = 15.7$ ,  $\omega_L = 3.62 \times 10^{13}$  rad/s,  $\omega_T = 3.39 \times 10^{13}$  rad/s,  $\Gamma = 5.65 \times 10^{11}$  rad/s,  $\gamma = 3.39 \times 10^{12}$  rad/s,  $n = 1.07 \times 10^{17}$  cm $^{-3}$ ,  $m^*/m = 0.022$ ,  $\omega_p = 3.14 \times 10^{13}$  rad/s. With these parameters  $\omega_c = 8.02 \times 10^{12}$  rad/s for a field of 1 T. Moreover, we set the thickness of the dielectric layers to  $t_d = 10$  nm. We present in Figs. 1(b) and 1(c) the real and imaginary parts of the component  $\epsilon_1(H)$  for such a choice of parameters and different values of the magnitude of the applied field. According to Eq. (3), in the absence of an external field it follows that  $\epsilon_1(H=0) = \epsilon_3$ . However, as can be observed in Fig. 1(b), the application of an external field leads to the appearance of frequency regions in which  $\epsilon_1(H)$  and  $\epsilon_3$  have opposite signs. As explained in the introduction, in these regions there are hyperbolic modes that are propagating inside the InSb layers and evanescent out of it. These modes are responsible of the progressive disappearance of the SPP modes occurring below the surface plasmon frequency  $\omega_{sp} = \omega_p/\sqrt{2}$ , and of the SPhP modes occurring between the longitudinal and transverse optical frequencies  $\omega_T$  and  $\omega_L$ , as has been shown for infinite parallel plates and thin films made of InSb [32,39].

In this paper, we consider two possible materials for the dielectric layers. The first one is the BK7 glass, with a constant (and real) dielectric function of  $\epsilon_d = 2.25$ . The second one is SiO $_2$ , a polar material whose dielectric function has the real and imaginary parts presented in Figs. 1(d) and 1(e) that were taken from Ref. [52]. Let us point out that for these dielectric materials, resonances are too high in frequency to be affected by the magnetic field (the cyclotron frequency is too low). As is well-known, for frequencies in which the real part of this dielectric function is negative, an interface between an infinite plate of this material and vacuum can support SPhPs whose evanescent field contributes substantially to the NFRHT transfer [9,19,53].

As explained above, we focus here on the calculation of the radiative heat flux between two 1D magnetophotonic crystals [see Fig. 1(a)]. This calculation was performed within the framework of the fluctuational electrodynamics theory [30,31]. In particular, we are interested in the radiative linear heat conductance per unit of area or heat transfer coefficient,  $h_N$ , between the two anisotropic multilayer systems, each one at its corresponding temperatures  $T_1$  and  $T_3$  [see Fig. 1(a)]. This heat transfer coefficient is defined in terms of the net power per unit of area exchanged between two anisotropic layered systems,  $Q_N$ , via the relation

$$h_N(T, d) = \lim_{\Delta T \rightarrow 0^+} \frac{Q_N(T_1 = T + \Delta T, T_3 = T, d)}{\Delta T}, \quad (4)$$

where [54]

$$Q_N = \int_0^\infty \frac{d\omega}{2\pi} [\Theta_1(\omega) - \Theta_3(\omega)] \int \frac{d\mathbf{k}}{(2\pi)^2} \tau(\omega, \mathbf{k}, d). \quad (5)$$

In this Landauer-like expression,  $\Theta_i(\omega) = \hbar\omega/[\exp(\hbar\omega/k_B T_i) - 1]$  describes the energy of the thermally occupied photonic states with frequency  $\omega$  and wave vector parallel to the interfaces in the multilayers  $\mathbf{k} = (k_x, k_y)$ . The function  $\tau(\omega, \mathbf{k}, d)$  is the total transmission probability of the electromagnetic propagating waves ( $|\mathbf{k}| = k < \omega/c$ ), as well as evanescent ones ( $k > \omega/c$ ), and is expressed as [32,54]

$$\begin{aligned} \tau(\omega, \mathbf{k}, d) &= \begin{cases} \text{Tr}[(\hat{1} - \hat{\mathcal{R}}_{21}\hat{\mathcal{R}}_{21}^\dagger)\hat{\mathcal{D}}^\dagger[\hat{1} - \hat{\mathcal{R}}_{23}^\dagger\hat{\mathcal{R}}_{23}]\hat{\mathcal{D}}], & k < \omega/c \\ \text{Tr}[(\hat{\mathcal{R}}_{21} - \hat{\mathcal{R}}_{21}^\dagger)\hat{\mathcal{D}}^\dagger[\hat{\mathcal{R}}_{23}^\dagger - \hat{\mathcal{R}}_{23}]\hat{\mathcal{D}}]e^{-2|q_2|d}, & k > \omega/c. \end{cases} \end{aligned} \quad (6)$$

Here,  $q_2 = \sqrt{\omega^2/c^2 - k^2}$  is the  $z$  component of the wave vector in the vacuum gap,  $c$  is the velocity of light in vacuum, and  $\hat{\mathcal{D}} = [\hat{1} - \hat{\mathcal{R}}_{21}\hat{\mathcal{R}}_{23}e^{2iq_2d}]^{-1}$  describes the usual Fabry-Pérot-like denominator resulting from the multiple scattering in the vacuum gap between the two multilayered systems. The  $2 \times 2$  matrices  $\hat{\mathcal{R}}_{ij}$  are the reflection matrices characterizing the two interfaces at both sides of the gap, and contain the information of the multiple scattering processes taking place inside each structure. These matrices have the following generic structure:

$$\hat{\mathcal{R}}_{ij} = \begin{pmatrix} r_{ij}^{s,s} & r_{ij}^{s,p} \\ r_{ij}^{p,s} & r_{ij}^{p,p} \end{pmatrix}, \quad (7)$$

where  $r_{ij}^{\alpha,\beta}$  with  $\alpha, \beta = s, p$  (or TE, TM) is the reflection amplitude for the scattering of an incoming  $\alpha$ -polarized plane wave into an outgoing  $\beta$ -polarized wave. In practice, we compute numerically the different reflection matrices appearing in Eq. (6) by using the scattering-matrix approach for anisotropic multilayer systems that is described in Refs. [32,55]. It is worth remarking that the approach used in this paper, contrary to effective medium theories, provides the exact numerical results for this problem and can be applied for an arbitrary number of unit cells in the 1D crystals. Let us also say that all the results presented in the paper for the heat transfer coefficient were obtained for room temperature ( $T = 300$  K).

### III. ZERO-FIELD RADIATIVE HEAT TRANSFER

We start the discussion of our results by first considering the radiative heat transfer between the multilayer systems of Fig. 1(a) in the absence of an external magnetic field. Radiative heat transfer in the near-field regime for this kind of system, in absence of an external magnetic field, has already been reported in the literature [47,48]. In these works, it was shown that it is possible to enhance the NFRHT exchanged by two periodic multilayers,  $h_N$ , relative to the heat transfer between two infinite plates  $h_{\text{bulk}}$ . Such an enhancement is a consequence of the hybridization of SPP and SPhP modes with the Bloch modes resulting from the translation symmetry in a periodic structure. However, not much attention has been paid to the radiative heat transfer in the intermediate and far-field regimes. With this in mind, we start by presenting in

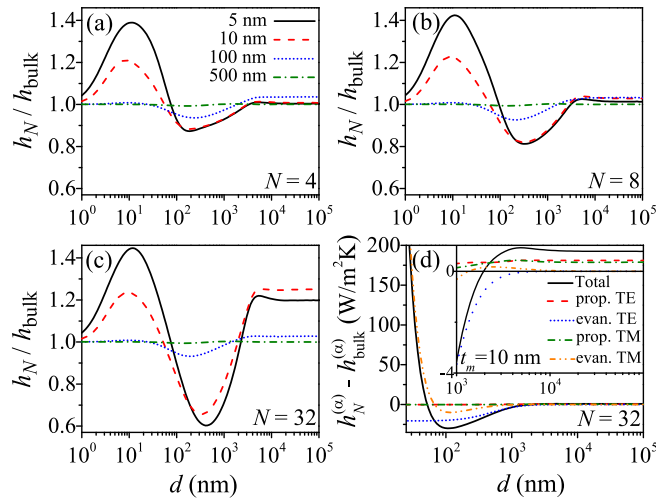


FIG. 2. (a)–(c) Ratio between the zero-field heat transfer coefficient of two multilayers,  $h_N$ , and the heat transfer coefficient of two semi-infinite plates of InSb,  $h_{\text{bulk}}$ , as a function of the gap size  $d$ . (a)–(c) correspond to  $N = 4$ ,  $N = 8$ , and  $N = 32$  unit cells, respectively, and the different lines in each graph correspond to different values of thickness of the MO layers of InSb: 5 nm (solid line), 10 nm (dashed line), 100 nm (dotted line), and 500 nm (dash dotted line). The dielectric material is BK7 and its layers have a thickness of 10 nm. (d) Gap dependence of the differences  $h_N^{(\alpha)} - h_{\text{bulk}}^{(\alpha)}$ , where  $\alpha$  stands for total, propagating, and evanescent TE or TM, for  $N = 32$  unit cells, and  $t_m = 10$  nm [i.e., for the dashed line in (c)].

Figs. 2(a)–2(c) the heat transfer coefficient for the two multilayer structures,  $h_N$ , as a function of the gap size  $d$ , normalized to the heat transfer coefficient for two InSb infinite plates,  $h_{\text{bulk}}$ , for three different values of the number of unit cells in each structure,  $N$ , and for several values of the thickness of the InSb layers,  $t_m$ . In this case, we assumed that the dielectric layers were made of BK7 glass. For gap sizes between 10 nm to 80 nm, we observe an enhancement of the NFRHT, which becomes more prominent as the thickness of the InSb layers is reduced and it reaches a maximum at  $d \sim 10$  nm. This is in qualitative agreement with what it has been reported in literature for layered structures with metal/vacuum unit cells [48]. However, for gap sizes larger than about 80 nm, this enhancement disappears up to gaps on the order of 2200 nm and the radiative heat flux between the multilayer systems is actually smaller than in the case of two infinite parallel plates of InSb. Beyond gap sizes of about 2200 nm and, in particular, in the far-field regime, the radiative heat flux between multilayered stacks is again larger than the corresponding one for two InSb parallel plates.

To understand these features for gap sizes above 80 nm, we present in Fig. 2(d) the difference between the total heat transfer coefficients  $h_N$  and  $h_{\text{bulk}}$ , and between each one of their separate contributions (evanescent and propagating waves for TE and TM polarizations). This is done for the case represented by a dashed line in Fig. 2(c). As can be seen, the enhancement in the near-field regime ( $d \leq 80$  nm) is entirely due to the contribution of the TM evanescent modes associated to the SPP and SPhPs modes. We also see that the decrease of the heat flux  $h_N$  relative to  $h_{\text{bulk}}$  for gap sizes

between 80 nm and 2200 nm is due to the decrease of both TE and TM evanescent contributions to the total flux. To provide some physical insight for the decrease of these contributions, we present in Fig. 3 the comparison between the transmission probabilities corresponding to the multilayer configuration and the corresponding ones for two infinite InSb plates, for TE and TM polarizations and for two different values of the gap size. We observe from Fig. 3(a) and Fig. 3(c) that the electromagnetic modes on the right of the vacuum light line  $\omega = ck$  and on the left of the InSb light line  $\omega = ck/\text{Re}(\epsilon_1^{1/2})$ , i.e., the frustrated TE evanescent modes, are more probable for the case of two infinite plates than for the multilayer system. This change is due to the fact that the outermost layer in the stratified media has less propagating modes involved in total internal reflection at the interface with the vacuum gap. This decrease in the contribution of frustrated TE modes is indeed evident in Fig. 4(a), where we have plotted the spectral heat transfer contribution,  $h_\omega$ , corresponding to the transmission probabilities in Figs. 3(a) and 3(e). Let us clarify that this spectral heat transfer coefficient is defined as the heat transfer coefficient per unit of frequency. Concerning the TM evanescent waves, we can see from Figs. 3(b) and 3(f) that transmission for the multilayer structures exhibits a higher number of surface states as a consequence of the hybridization of SPP and SPhP modes with Bloch modes. However, these hybridized modes have smaller values of the parallel component of wave vector  $k$  than in the case of two infinite plates. The reason for this is that as the gap size increases, the evanescent field of deepest layers in one structure cannot reach the vacuum gap and penetrate into the first layer of opposite structure. Then, as can be observed in Fig. 4(b), the corresponding spectral heat transfer coefficient has broader but smaller central peaks than in the configuration of two infinite plates.

We end this section by briefly discussing the increase of the far-field radiative heat transfer, see inset in Fig. 2. It is clear that such an increase originates from both TE and TM propagating modes, which in turn is a consequence of the enhanced intensity of Fabry-Pérot resonances inside all the  $2N$  layers of each multilayer system, as can be seen upon comparing Figs. 2(c) and 2(g) [2(d) and 2(i)] for TE (TM) polarized waves, and in their corresponding spectral heat transfer coefficients in Fig. 4(c) [4(d)].

#### IV. MAGNETIC FIELD EFFECT ON THE RADIATIVE HEAT TRANSFER

We turn now to discuss how the magnetic field affects the radiative heat transfer in the system under study. In Fig. 5, we present the gap dependence of the ratio between the zero-field heat transfer coefficient and the corresponding coefficient at a given magnitude of the magnetic field for three different values of InSb layer thickness  $t_m$  and  $N = 16$ . Figures 5(a)–5(c) display results for the case in which the dielectric layer is made of BK7 glass, while Fig. 5(d) shows an example in which this layer is made of SiO<sub>2</sub>. The first thing to notice is that the applied magnetic field always reduces the heat flux, irrespective of the dielectric material or the values of the geometrical parameter. Notice also that this field-induced reduction is much more pronounced in the near-field regime.

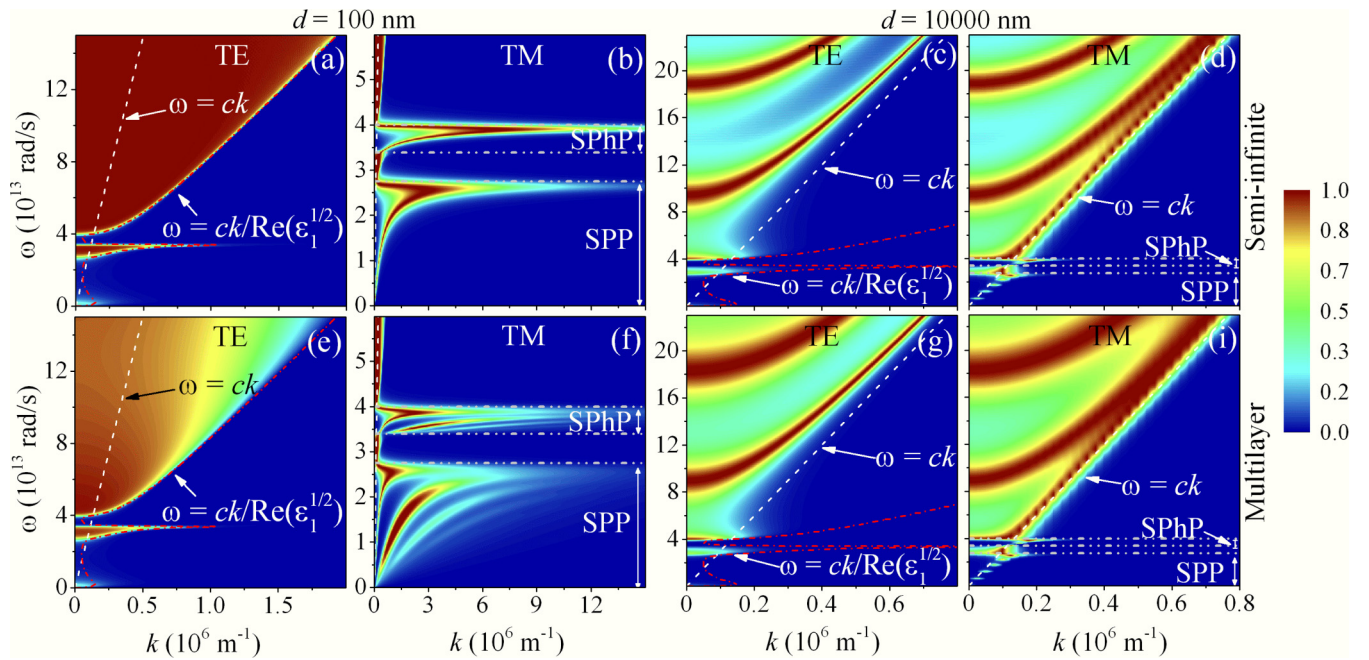


FIG. 3. Transmission coefficient for *s*-polarized (TE) [(a), (c), (e), and (g)] and *p*-polarized (TM) [(b), (d), (f), and (i)] waves, as a function of the magnitude of the parallel wave vector and frequency, in absence of an external magnetic field. (a), (b), (e), and (f), correspond to a gap size  $d = 100$  nm, whereas the rest to a gap size of  $d = 10000$  nm. The white dashed lines indicate the light line in vacuum,  $\omega = ck$ , while the red dashed-dotted lines in (a), (c), (e), and (g) correspond to the light line inside InSb,  $\omega = ck/\text{Re}(\epsilon_1^{1/2})$ . The horizontal dashed dotted lines in (b), (d), (f), and (i), delimit the different regions where SPP and SPhP modes exist for the TM polarization. The upper panels correspond to the case of two InSb semi-infinite plates, whereas the lower ones are for a multilayer system comprising of  $N = 32$  unit cells of InSb/BK7, with  $t_m = t_d = 10$  nm, as in Fig. 2(d).

As explained in the Introduction, the reduction is indeed the expected result from our previous studies of the case of two InSb parallel plates [32] and a plate of InSb separated by a vacuum gap from an InSb thin film [37]. These results are clearly at variance with those reported in Ref. [41] that were

obtained with the help of an effective medium theory. It is worth stressing that we have found very similar results for

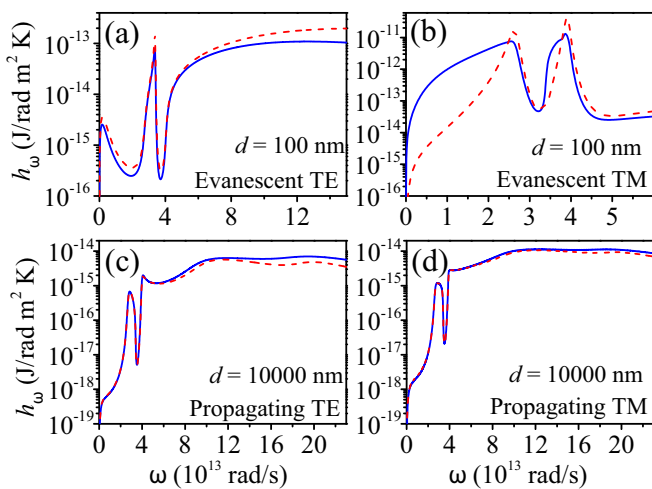


FIG. 4. Comparison between spectral heat transfer coefficients of two InSb infinite plates (dashed lines) and the corresponding one for the two multilayers of Fig. 1(a) (solid lines), with  $N = 32$  InSb/BK7 unit cells, and  $t_m = t_d = 10$  nm, as in Fig. 2(d). Panels (a)–(d) correspond, respectively, to the pair of panels [(a) and (e)], [(b) and (f)], [(c) and (g)], and [(d) and (i)] in Fig. 3.

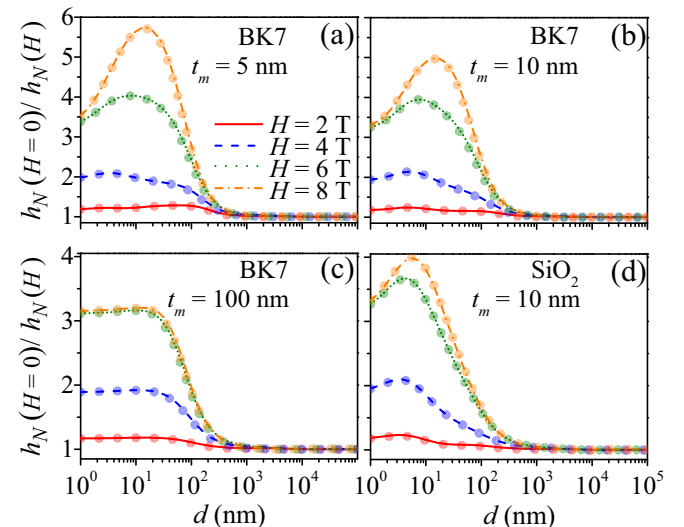


FIG. 5. Ratio between the zero-field heat transfer coefficient and the coefficient at a given value of the field magnitude as a function of the gap size and thickness,  $t_m$ , of the InSb layers. (a)–(c) correspond to an InSb/BK7 unit cell, whereas (d) corresponds to an InSb/SiO<sub>2</sub> unit cell. All results were obtained for 16 unit cells and for dielectric layers (either BK7 or SiO<sub>2</sub>) with thickness  $t_d = 10$  nm. Circles in panels (a)–(c) correspond to the results obtained with the diagonal approximation  $r_{ij}^{s,p} = r_{ij}^{p,s} = 0$ .

different numbers of unit cells (not shown here). To shed some light on the origin of the discrepancy, we have also modeled our system with an effective medium theory and the results are discussed in the Appendix. There we show that this approximate theory qualitatively reproduces our exact results and, in particular, it also predicts the reduction of NFRHT upon the application an external magnetic field. In this sense, the source of the discrepancies with the results of Ref. [41] is still unclear.

The results presented in Fig. 5 show that the most drastic NFRHT reduction induced by the magnetic field occurs for the thinnest InSb layer. For example, we see in Fig. 5(a) that for a 5-nm-thick InSb layer the NFRHT is reduced by up to a factor 5.7 for a gap size of 14.4 nm and a field magnitude of 8 T. As the InSb layer gets thicker, for example, 10 nm as in Fig. 5(b), the maximum reduction becomes on the order of a factor of 5, and for a thickness of the InSb layer of 100 nm, see Fig. 5(c), one essentially recovers the limiting case of two infinite plates discussed in Ref. [32] (data not shown). The reason for this behavior is easy to understand in view of our previous studies [32,37]. For thin InSb layers, the number of these layers whose evanescent field reaches the vacuum gap is larger and, therefore, the replacement of SPPs and SPhPs modes by hyperbolic ones has a more drastic impact.

A natural question that arises at this point is if the polarization conversion plays any role in the decrease of the NFRHT when the magnetic field is applied. To answer this question, we have computed the heat transfer in all the examples discussed above but this time assuming that the amplitudes  $r_{ij}^{p,s}$  and  $r_{ij}^{s,p}$  are zero. Let us recall that these off-diagonal reflection coefficients, see Eq. (7), are responsible for the polarization conversion in this system. The results obtained with this approximation are shown in Fig. 5 as circles. As is evident from these results, the polarization conversion plays no role in the reduction of the NFRHT induced by the external magnetic field. As discussed in our previous work [32,37], this reduction is due to the fact that the field induces the appearance of hyperbolic modes that progressively replace the zero-field surface modes and they are less effective transferring the heat. A way to confirm this argument is by investigating the spectral heat transfer coefficient, which we show in Fig. 6 for the case of a gap size of 10 nm taken in Fig. 5(b) and values of the field equal to 0, 2, 4, and 6 T. In this figure, we also show the contribution of the TM evanescent waves and the results for the total heat transfer coefficient computed with the diagonal approximation  $r_{ij}^{p,s} = r_{ij}^{s,p} = 0$ . The first thing to notice from these spectral functions is that, as we showed in our previous papers [32,37], the reduction of the NFRHT is dominated by TM-polarized evanescent modes, which are replaced by hyperbolic modes as the field is cranked up. The second thing is that the results obtained with the diagonal approximation reproduce the exact results very accurately, showing again that the polarization conversion is not relevant for the field-induced NFRHT reduction. The same conclusions can be drawn with an analysis based on an effective medium theory, as we show in the Appendix.

Another interesting observation is the fact that the NFRHT reduction induced by the field is more prominent in the case in which the dielectric layer is made of BK7 glass, which is evident when comparing Figs. 5(b) and 5(d) that were

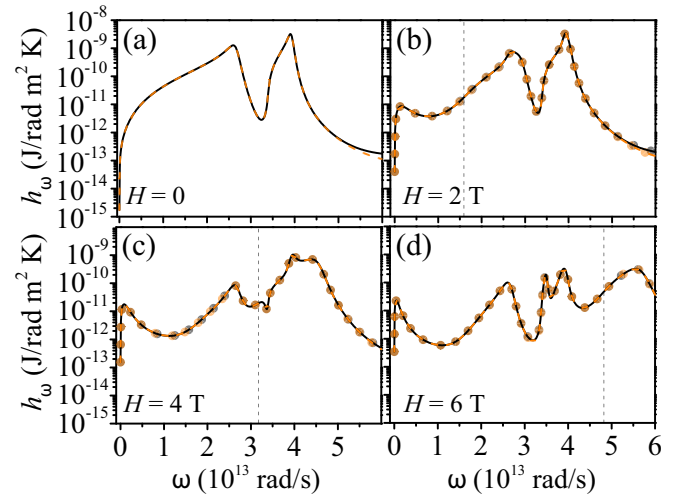


FIG. 6. Total spectral heat transfer coefficient (black solid lines) and the contribution of TM evanescent waves (dashed orange lines) for the same geometrical parameters as in Fig. 5(b) and a gap size of 10 nm. The different panels correspond to different values of the external magnetic field: (a) 0 T, (b) 2 T, (c) 4 T, and (d) 6 T. The number of unit cells in all cases shown in this figure were set to  $N = 16$ . The circles in (b)–(d) correspond to the results for the total spectral heat transfer coefficient obtained with the diagonal approximation  $r_{ij}^{p,s} = r_{ij}^{s,p} = 0$ . The vertical lines indicate the value of the cyclotron frequency in each case.

obtained for the same value of the dielectric layer thickness. In particular, we find that for an applied field with 8 T and a gap size of 10 nm, the reduction factor for the BK7 case is about 4.9, while it is 3.8 for SiO<sub>2</sub>. This difference can be understood by examining the evolution of the transmission probability of the TM evanescent modes as the magnitude of the external field varies, see Fig. 7. In the structure with InSb/BK7 unit cells, the absence of dispersion in the dielectric function of the BK7 layers makes that SPPs and SPhPs modes of InSb be the only ones available to hybridize with the Bloch modes [see Fig. 7(a)]. These modes are progressively replaced by hyperbolic modes as the external field increases [32], leading to the reduction of the NFRHT [see Figs. 7(b)–7(d)]. In contrast, when the dielectric layers are made of a polar material like SiO<sub>2</sub>, the zero-field transmission probability exhibits, in addition to the maxima related to the SPPs and SPhPs of the InSb layers, other two maxima at higher frequencies related the SPhPs in the SiO<sub>2</sub> layers [see Figs. 1(d) and 1(e)]. So, although the external field replaces the SPP and SPhP modes of InSb by hyperbolic modes, this field cannot modify the SPhP modes due to SiO<sub>2</sub> [see Fig. 7(f)–7(i)], making the structure containing this polar dielectric less sensitive to the application of an external magnetic field.

## V. CONCLUSIONS

Motivated by the current interest in the active control of NFRHT, we have presented in this paper a systematic theoretical study of the influence of an external magnetic field in the radiative heat exchange between two periodic 1D magnetophotonic crystals. We have analyzed in detail both the zero- and the finite-field radiative heat flux. In the first case,

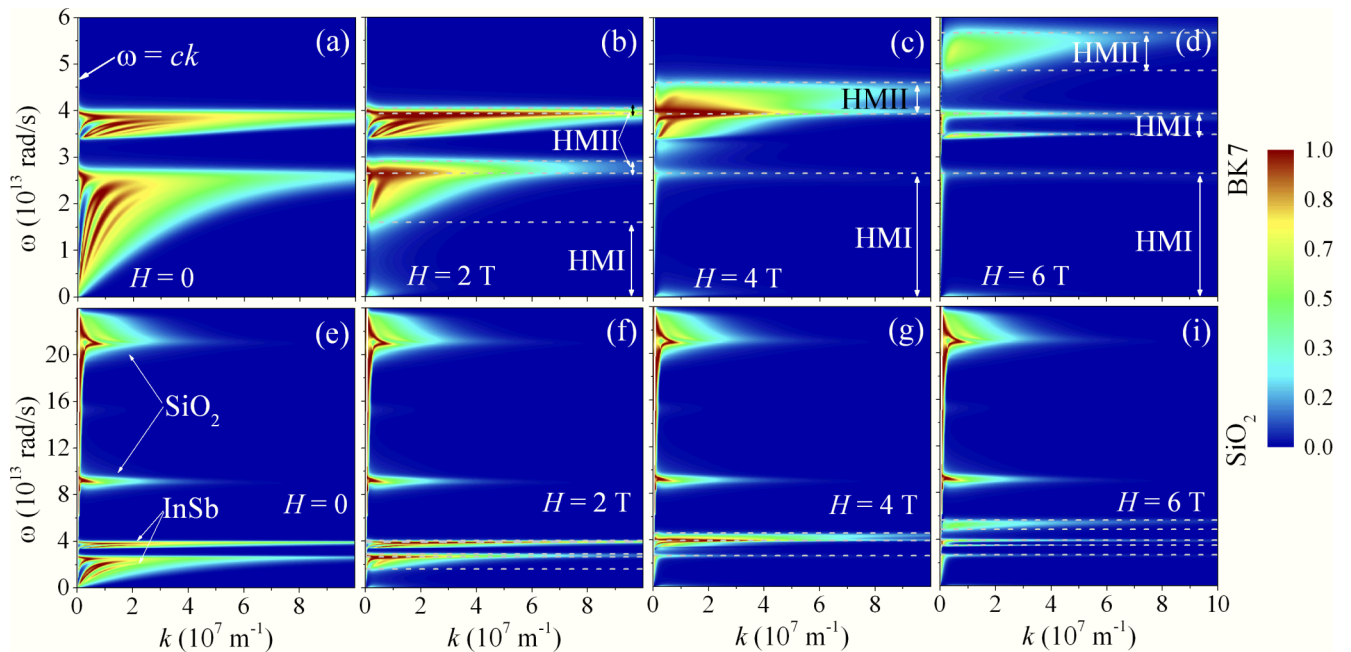


FIG. 7. Transmission coefficient for  $p$ -polarized (TM) waves as a function of the magnitude of the parallel wave vector and frequency for different magnitudes of the applied magnetic field. Upper (lower) panels correspond to an InSb/BK7 (InSb/SiO<sub>2</sub>) unit cell. In all panels, we have set  $t_m = t_d = d = 10$  nm. The number of units cells was set to  $N = 16$ .

we have shown that for the smallest gaps in the near-field regime, the heat flux between the multilayered structures is higher than for the case of two InSb infinite parallel plates. In agreement with related studies, we find that this enhancement is due to the hybridization of SPP and SPhP modes with the Bloch modes resulting from the translation symmetry in a periodic structure. However, in an intermediate regime for gap sizes between 80 and 2200 nm, the radiative heat transfer is actually smaller in the case of the 1D crystals. We have shown that this is a consequence of a decrease in the efficiency of frustrated TE modes by total internal reflection and smaller parallel wave vectors for surface TM waves. In contrast, for gap sizes beyond 2200 nm and, in particular in the far-field regime, there is an increase in the radiative heat flux due to enhanced Fabry-Pérot resonances between and inside multiple layers of each stack.

On the other hand, we have shown that an applied static magnetic field induces the replacement of surface polariton states, which have very high values of the parallel wave vector, by hyperbolic modes with lower parallel wave vectors. The field-induced appearance of these hyperbolic modes leads to a reduction of the NFRHT that is even more pronounced than in the case of two InSb infinite parallel plates. In particular, we have found reduction factors as high as 6 for fields of a few Teslas, which is truly remarkable. Moreover, we have shown that the polarization conversion in this system plays no role in this effect.

The values of the external magnetic field considered in this paper are relatively high but they are in the typical range used to study magnetoplasmons in doped semiconductors, see, e.g., Ref. [51]. We expect that lower fields are sufficient to reveal other thermomagnetic effects such as the anisotropic thermal magnetoresistance [36], which consists of the change of the heat flux upon variation of the magnetic field direc-

tion. Sizable changes of the NFRHT have been predicted for fields on the order of 0.1 T both in nanoparticle systems [36] and in parallel plate structures [37]. On the other hand, the effects predicted here for the case of a material like InSb are also expected to occur if one replaces this material by other polar semiconductors like GaAs, InAs, InP, PbTe, etc., or even nonpolar semiconductors like Si or Ge, which also exhibit a notable MO activity. Overall, our paper provides one more example of the promising possibility to control NFRHT by means of an external magnetic field, and we hope that it will motivate the realization of experiments to confirm these interesting thermomagnetic effects.

#### ACKNOWLEDGMENT

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#### APPENDIX: ON THE VALIDITY OF THE EFFECTIVE MEDIUM THEORY

The goal of this Appendix is to compare our exact results for the NFRHT between two 1D magnetic photonic crystals with those obtained with an effective media theory (EMT). The idea is to understand the origin of the discrepancy with the results of Ref. [41] and to get some additional insight into the underlying physics.

Following Ref. [56], the optical properties of each stack upon the action of an external magnetic can be described by an effective dielectric tensor corresponding to a homogeneous

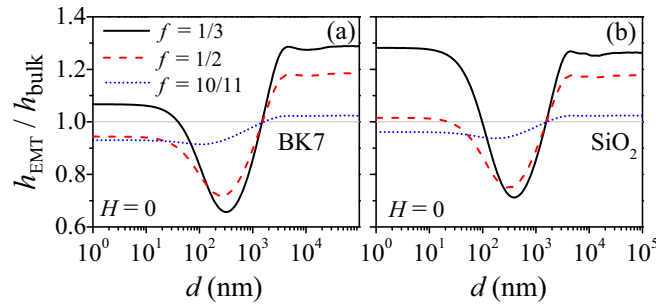


FIG. 8. Zero-field heat transfer coefficient of two multilayers described with effective medium theory,  $h_{\text{EMT}}$ , normalized to the heat transfer coefficient of two semi-infinite plates of InSb,  $h_{\text{bulk}}$ , as a function of gap size  $d$  and for different values of the InSb filling fraction in the unit cell. (a) [(b)] corresponds to an InSb/BK7 [InSb/SiO<sub>2</sub>] unit cell.

semiinfinite media and given by

$$\hat{\epsilon}_{\text{eff}} = \begin{pmatrix} f\epsilon_1 + (1-f)\epsilon_d & -if\epsilon_2 & 0 \\ if\epsilon_2 & f\epsilon_1 + (1-f)\epsilon_d & 0 \\ 0 & 0 & \frac{\epsilon_3\epsilon_d}{f\epsilon_d + (1-f)\epsilon_3} \end{pmatrix}, \quad (\text{A1})$$

where  $f = t_m/(t_m + t_d)$  is the volume fraction occupied by the InSb inside the unit cell. We start by considering the zero-field heat transfer coefficient. In Fig. 8, we present coefficients for InSb/BK7 and InSb/SiO<sub>2</sub> unit cells and for filling fractions of 1/3, 1/5, and 10/11, which correspond, respectively, to InSb layer thicknesses of 5 nm, 10 nm, and 100 nm, with a fixed dielectric layer thickness of 10 nm. Comparing the results of Fig. 8(a) with the exact results of Fig. 2(c), we can conclude that the EMT qualitatively accounts for the heat transfer results in the intermediate and far-field regimes. However, it is

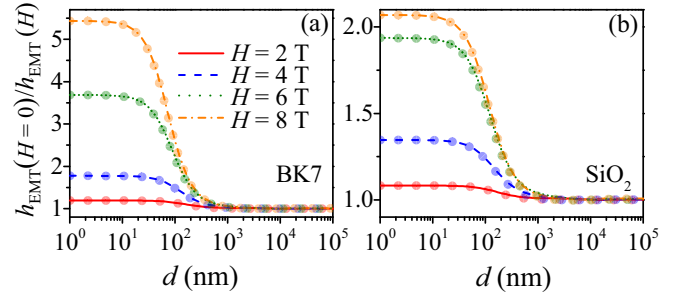


FIG. 9. Ratio between the zero-field heat transfer coefficient and the coefficient at a given value of the field magnitude as a function of the gap size for an InSb filling fraction  $f = 0.5$ , as calculated within the effective medium theory. (a) [(b)] correspond to an InSb/BK7 [InSb/SiO<sub>2</sub>] unit cell. Circles in both panels correspond to the results obtained with the diagonal approximation  $r_{ij}^{s,p} = r_{ij}^{p,s} = 0$ .

evident that EMT does not properly describe the behavior of the near-field regime. We attribute this limitation to the fact that inside the two homogeneous semi-infinite media representing both structures cannot take place the hybridization between the SPP and SPhP modes with the Bloch modes.

Now we briefly explore the effect of an external magnetic field effect within the EMT by considering a filling fraction of 0.5, which corresponds to the geometrical parameters chosen in Figs. 5(b) and 5(d). The EMT results of Fig. 9 show excellent qualitative agreement with the reduction of the NFRHT with an external magnetic field, as obtained with the exact theory. Moreover, from the results of this figure, it is also evident that even within the EMT, polarization conversion plays no role in the reduction of the heat transfer. Thus, the obvious conclusion from this analysis is the discrepancies between our conclusions and those of Ref. [41] are not really due to the use of an EMT. Moreover, the consistency between our exact results and those obtained within the EMT of this Appendix gives us great confidence in the validity of our main conclusions.

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